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PATENT

Attorney Docket No. 9707.0009-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Hideyuki Okano et al. ) Group Art Unit: 1633  
Application No.: 10/571,277 ) Examiner: Maria G. Leavitt  
Filed: March 9, 2006 )  
For: METHODS FOR ENHANCING ) Confirmation No.: 6053  
SURVIVAL AND/OR PROLIFERATION )  
OF NEURAL STEM CELLS AND )  
NEURITE EXTENSION, ENHancers )  
THEREFOR, PHARMACEUTICAL )  
COMPOSITIONS CONTAINING )  
NEURAL STEM CELLS, ASSAY )  
METHODS, AND SCREENING )  
METHODS )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST FOR REFUND**

In accordance with 37 C.F.R. § 1.26, allowing a Request for Refund to be filed where any fee is paid by actual mistake or in excess of that required, Applicants request a refund in the amount of \$230.00 for overpayment of an extension of time fee.

On November 15, 2007, Applicants filed a Petition for Extension of Time of two months. At the time of filing, Applicants paid the fee in the amount of \$460.00 for a large entity when in fact Applicants claimed small entity status in the transmittal letter filed on March 9, 2006. The correct fee should have been \$230.00.

1252

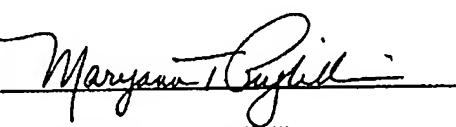
Please issue the refund to the undersigned firm of attorneys and indicate on the  
check our case reference "9707-0009-00000."

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 1, 2008

By:

  
Maryann T. Puglielli

Reg. No. 52,138

## Electronic Patent Application Fee Transmittal

| <b>Application Number:</b>  | 10571277   |          |        |                      |
|---|--|----------|--------|----------------------|
| <b>Filing Date:</b><br><small>Adjustment<br/>11/16/2007 date: 05/28/2008 INTEFSW 00003557 HDESTA1<br/>01 FC:1252 -460.00 0P<br/>05/28/2008 HDESTA1 00000035 10571277<br/>01 FC:2252 230.00 0P</small> | 09-Mar-2006  |          |        |                      |
| <b>Title of Invention:</b><br><small>Refund Ref: 05/28/2008 0030056132</small>  | Methods for enhancing survival and/or proliferation of neural stem cells and neurite extension enhancers therefor pharmaceutical compositions containing neural stem cells assay methods and screening methods |          |        |                      |
| <b>Credit Card Refund Total:</b> \$230.00   |  |          |        |                      |
| <b>Am Exp.:</b> XXXXXXXXXXXX1004  |  |          |        |                      |
| <b>First Named Inventor/Applicant Name:</b>   | Hideyuki Okano   |          |        |                      |
| <b>Filer:</b>   | Maryann Theresa Puglielli  |          |        |                      |
| <b>Attorney Docket Number:</b>  | 09707.0009   |          |        |                      |
| Filed as Large Entity   |  |          |        |                      |
| <b>U.S. National Stage under 35 USC 371 Filing Fees</b>   |  |          |        |                      |
| Description   | Fee Code   | Quantity | Amount | Sub-Total in USD(\$) |
| <b>Basic Filing:</b>  |  |          |        |                      |
| <b>Pages:</b>   |  |          |        |                      |
| <b>Claims:</b>  |  |          |        |                      |
| <b>Miscellaneous-Filing:</b>  |  |          |        |                      |
| <b>Petition:</b>  |  |          |        |                      |
| <b>Patent-Appeals-and-Interference:</b>   |  |          |        |                      |
| <b>Post-Allowance-and-Post-Issuance:</b>  |  |          |        |                      |
| <b>Extension-of-Time:</b>   |  |          |        |                      |
| Extension - 2 months with \$0 paid  | 1252   | 1        | 460    | 460                  |